



Western National Insurance Group
 10851 N. Black Canyon Highway Suite 125
 Phoenix, AZ 85029
 (602) 314-5997 or Toll Free (855) 283-8106
 Fax (800) 999-3464

ERISA Bond Application

Bond Amount \$ _____ Asset Value of Plan * : \$ _____
 (Please round to the next thousand or check rate chart for rates)
 Effective: ____/____/____
 Legal Name of Plan _____ Plan
 Sponsor: _____
 Address: _____
 City: _____ State: _____ Zip _____
 Phone: (____) _____ Fax: (____) _____
 NAME OF PRIOR CARRIER & POLICY NUMBER _____
 POLICY NUMBER _____ NO PRIOR COVERAGE

Number of Trustees, fiduciaries or employees who handle funds or property of the plan _____

Is this a multi-employer Plan? Yes No

Please answer the following questions if bond amount exceeds \$100,000

Has the plan sustained any dishonesty losses in the last 5 years? Yes No
 Are the assets of the Plan audited annually by a C.P.A.? Yes No
 Have there been any prior identified uncorrected deficiencies? Yes No
 Is there an independent financial advisor to the plan? Yes No
 Is countersignature required to withdraw funds from the plan? Yes No
 If no, is there a voucher or system in place to prevent
 Unauthorized issuance of checks? Yes No
 Are bank statements reconciled monthly? Yes No
 Does the person who reconciles the bank statement also
 Withdraw or deposit the funds? Yes No
 Are there any assets invested in non-qualified assets? Yes No
 If yes, what percentage? _____%

Rates

* Western National's Surety ERISA bond contains an Inflation Guard provision which automatically provides a bond amount equal to that required by the ERISA act, provided the applicant purchases an amount equal to the required ERISA bond amount at the bond inception date. (Please note that the renewal premium after 3 years will increase 10% each renewal).

Penal Sum	3 Year Premium	Penal Sum	3 Year Premium	Penal Sum	3 Year Premium	Penal Sum	3 Year Premium
\$10,000	\$111	\$110,000	\$262	\$210,000	\$325	\$400,000	\$436
\$20,000	\$114	\$120,000	\$271	\$220,000	\$331	\$425,000	\$450
\$30,000	\$137	\$130,000	\$276	\$230,000	\$336	\$450,000	\$467
\$40,000	\$157	\$140,000	\$282	\$240,000	\$342	\$475,000	\$482
\$50,000	\$180	\$150,000	\$288	\$250,000	\$348	\$500,000	\$496
\$60,000	\$197	\$160,000	\$294	\$275,000	\$365		
\$70,000	\$217	\$170,000	\$302	\$300,000	\$379		
\$80,000	\$231	\$180,000	\$308	\$325,000	\$393		
\$90,000	\$245	\$190,000	\$314	\$350,000	\$408		
\$100,000	\$257	\$200,000	\$319	\$375,000	\$425		

AGENT INFORMATION:

AGENCY NAME: _____ AGENCY CODE _____
 AGENT/CSR : _____ PHONE: () _____